

REMARKS

The foregoing Amendment and the following Remarks are submitted in response to the Office Action issued on November 18, 2005 in connection with the above-identified patent application, and are being filed within the first month after the three-month shortened statutory period set for a response by the Office Action. Applicants respectfully submit that no new matter has been added to the application by the Amendment.

Claims 1, 3-5, 7-21, 25, 27-30, and 35-37 are pending in the present application as amended. Claims 6, 22, and 33 have been canceled and the subject matter thereof has been added to independent claims 1, 10, and 30, respectively.

The Examiner has again rejected the pending claims under 35 USC § 103(a) as being obvious over Ginter (U.S. Patent No. 5,910,987). Applicants respectfully traverse the § 103(a) rejection insofar as it may be applied to the claims as amended.

Independent claim 1 as amended recites an apparatus for producing a new ((n)th) black box for a digital rights management (DRM) system, where the (n)th black box is for being installed in the DRM system and for performing decryption and encryption functions in the DRM system. The (n)th black box is produced and delivered to the DRM system upon request therefrom and includes a new ((n)th) executable and a new ((n)th) key file. The (n)th key file has a new ((n)th) set of black box keys and a number of old sets of black box keys, and the request includes an old ((n-1)th) key file having the old sets of black box keys.

In the apparatus, a code optimizer / randomizer receives a master executable and randomized optimization parameters as inputs and produces the (n)th executable as an output. Also, a key manager receives the (n-1)th key file and the (n)th set of black box keys

as input, extracts the old sets of black box keys from the (n-1)th key file, and produces the (n)th key file including the (n)th set of black box keys and the old sets of black box keys as an output. The (n)th executable and the (n)th key file are to be forwarded to the requesting DRM system.

The key manager produces the (n)th key file encrypted according to a secret, and that the apparatus further comprises an injector receiving the (n)th executable from the code optimizer / randomizer as an input, injecting the secret into the (n)th executable in a pre-determined location, and producing the injected (n)th executable as an output. The injected (n)th executable and the encrypted (n)th key file are to be forwarded to the requesting DRM system.

As amended, claim 1 further recites that the DRM system resides on a computing device having a hardware ID (HWID) associated therewith, and the HWID is included in and obtained from the (n-1)th key file. Significantly, the injector injects the obtained HWID into the (n)th executable in a pre-determined location.

Independent claim 10 substantially recites the subject matter of claim 1, although in the form of a method. Independent claim 30 recites a method such as that of claim 10 but focuses on producing the executable only.

In the environment of the present invention, and as was previously pointed out, a license server only issues a license to a DRM system that is 'trusted' (i.e., that can authenticate itself). To implement 'trust', the DRM system is equipped with a 'black box' that performs decryption and encryption functions for such DRM system. The black box includes a public / private key pair, a version number and a unique signature, all as provided by an approved certifying authority. The public key is made available to the license server

for purposes of encrypting portions of the issued license, thereby binding such license to such black box. The private key is available to the black box only, and not to the user or anyone else, for purposes of decrypting information encrypted with the corresponding public key.

From time to time, the DRM system may obtain a new and unique ('individualized') black box from a black box server or the like, and such black box server delivers the individualized black box with a new public / private key pair (PU-BB, PR-BB). The black box server may choose to individualize each black box by individualizing an executable program file that is delivered to and is resident on the DRM system. Such executable program file may for example be a dynamically linked library file or the like.

The black box server delivers the new individualized black box executable with a new public / private key pair (PU-BB, PR-BB). However, the new individualized black box executable should still be able to employ old key sets previously delivered to the DRM system in connection with old executables. As may be appreciated, such old key sets are still necessary to access older digital content 12 and older corresponding licenses 16 that were generated according to such old key sets. Accordingly, with the present invention as recited in the claims, such new individualized executable is provided with access to the new key set with a new public / private key pair, and also to old key sets with old public / private key pairs.

The Ginter reference discloses a system and method for secure transaction management and electronic rights protection, where electronic appliances such as computers participate in the system to ensure that information is accessed and used only in an authorized manner. Thus, such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control.

As set forth at column 12, such Ginter VDE can employ among other things a distributed, secure, "virtual black box" comprised of nodes located at every user site. The nodes of such virtual black box can include a secure subsystem having at least one secure hardware or software element. In addition, the Ginter VDE can include encryption and decryption means, secure communications means employing authentication, digital signing, and encrypted transmissions, where the secure subsystems at the user nodes utilize a protocol that establishes and authenticates each node's and/or participant's identity, and establishes one or more secure host-to-host encryption keys for communications between the secure subsystems.

As the Examiner will no doubt surely agree, the Ginter reference is exhaustive in its scope. However, and as was previously argued, despite the exhaustive scope of the Ginter reference, such reference does not at all appreciate that the Ginter black box should be or could be periodically or non-periodically updated by obtaining from a centralized black box server a new individualized black box and a corresponding new set of black box keys, as is required by the claims of the present application, set forth in claims 1 et seq. Moreover, the Ginter reference does not at all appreciate that in being updated, such new set of black box keys should or could be contained in a key file with previous sets of black box keys, as is set forth in the claims, so that such previous sets of keys are available for use by the Ginter system with regard to content protected according to one of the previous sets of keys.

Applicants once again respectfully submit that the Ginter reference does not disclose that a node thereof can or should request a new black box. Although the Examiner picks and chooses from among the exhaustive Ginter disclosure to find elements that could perhaps be employed to perform the elements recited in the claims of the present application,

Applicants respectfully submit that the Ginter reference is to be cited not for what could possibly be done based on the elements disclosed therein, but what the Ginter reference actually discloses and suggests. For example, it is not enough that the Ginter reference discloses at column 118 a key manager or an extraction process at column 191 thereof. Instead, such disclosed key manager and such disclosed extraction process must fairly disclose or suggest the functions recited in the claims. Applicants respectfully submit that in fact the functions recited in the claims are not at all disclosed or even suggested by such Ginter key manager and Ginter extraction process.

Principally, Applicants respectfully submit that the elements pointed out by the Examiner do not fairly disclose or even suggest the elements recited in the claims of the present application for the reason that the Ginter reference does not at all appreciate that a request for an (n)th black box should or could be processed by a code optimizer / randomizer and related elements in the manner recited in the claims of the present application. In particular, the Ginter reference does not appreciate that a new black box should be provided with a new set of black box keys and also previous sets of black box keys, as is set forth in the claims, so that such previous sets of keys are available for use by the Ginter system with regard to content protected according to one of the previous sets of keys.

Moreover, Applicants also again respectfully submit that the Ginter reference does not contemplate updating any black box of a node thereof by obtaining a new individualized black box and a corresponding new set of black box keys, where the new set of black box keys is contained in a key file with previous sets of black box keys. Thus, the Ginter system as disclosed does not forward any (n)th executable and (n)th key file to a requesting node in the manner set forth in the claims.

Note that the Examiner may find based on the Ginter reference that such a key file with previous sets of keys would be advisable. However, such a finding can no doubt be impermissibly based on hindsight in view of the disclosure of the present application, especially inasmuch as the Ginter reference does not contemplate or even suggest the need for the previous sets of keys.

Also, Applicants respectfully submit that the Ginter reference does not at all disclose or even suggest that an injector should or could be employed to receive an (n)th executable from a code optimizer / randomizer as an input, inject a secret of a key file into the (n)th executable in a pre-determined location, and produce the injected (n)th executable as an output, wherein the injected (n)th executable and the encrypted (n)th key file are to be forwarded to a requesting DRM system, all as required by the claims.

Finally, the Ginter reference makes no disclosure or suggestion that in constructing a key file or an executable for a particular computing device, the key file / executable should or could be tied to the device by including a hardware ID (HWID) of the device therein, as is required by the claims of the present application. Although the Examiner points to such an HWID as being disclosed at columns 200 and 203, such columns at most disclose creating a signature based on some non-specific ID (column 200) or creating a key based on some non-specific ID (column 203), and not using a HWID from a device to tie a key file or executable to such device, as is required by the claims of the present application.

Thus, because the Ginter reference does not disclose, suggest, or teach such injection of such a HWID into an executable or key file, in addition to the requirement for obtaining a new black box including a new executable and a new key file in the manner set forth in the claims, Applicants respectfully submit that such Ginter reference cannot be

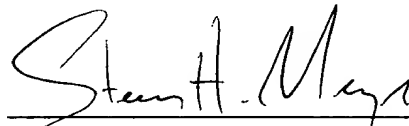
DOCKET NO.: MSFT-0117/147323.1
Application No.: 09/525,509
Office Action Dated: November 18, 2005

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applied to make obvious claims 1, 10, or 30, or any claims depending therefrom. Instead, Applicants respectfully submit that such claims are not in fact obvious in view of the Ginter reference, and accordingly, Applicants respectfully request reconsideration and withdrawal of the § 103(a) rejection.

In view of the foregoing discussion, Applicants respectfully submit that the present application including claims 1, 3-5, 7-21, 25, 27-30, and 35-37 is in condition for allowance, and such action is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Steven H. Meyer", written over a horizontal line.

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Date: February 22, 2006

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